

2011 Drinking Water Infrastructure Needs Survey and Assessment

U.S. Environmental Protection Agency
Washington, DC 20460

OMB No.: 2040-0274
Approval Expires: 02/28/2014
Federal PWSID No.: _____

Please verify or correct the following information:

	Check if Correct as Printed	Corrected Information (Fill in only if preprinted information is missing or incorrect)
Name of System (Community):	<input type="checkbox"/>	
Name of Contact for Water System: (Record name of person completing survey on page 8; may be same person)	<input type="checkbox"/>	
Street Address:	<input type="checkbox"/>	
City, State, and Zip:		
Population Served (if wholesale seller, include population of systems sold to):	<input type="checkbox"/>	
Number of Connections (not including those in consecutive systems):	<input type="checkbox"/>	
Total System Design Capacity: _____ MGD		
Source Water Type (Ground, Surface/GWUDI, etc.):	Check All That Apply: <input type="checkbox"/> Ground <input type="checkbox"/> Purchased Ground	<input type="checkbox"/> Surface/GWUDI <input type="checkbox"/> Purchased Surface/GWUDI
Ownership Type:	Check All That Apply: <input type="checkbox"/> Public <input type="checkbox"/> Native American	<input type="checkbox"/> Federal Government <input type="checkbox"/> Investor-Owned or Private Non-Profit
<p>Public reporting burden for this collection of information is estimated to average 7.51 hours per response. This estimate includes time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collected. Burden means the total time, effort, or financial resources expended by person(s) to generate, maintain, retain, or disclose or provide information to or for a Federal Agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information; adjust the existing ways to comply with any previously applicable instructions; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control numbers for EPA's regulations are listed in 40 CFR Part 9 and 48 CFR Chapter 15.</p> <p>Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, OPPI, Regulatory Information Division, U.S. Environmental Protection Agency (1804A), Ariel Rios Building, 1200 Pennsylvania Ave., NW, Washington, DC 20460; and Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, N.W., Washington, DC 20503.</p>		

State Use Only

State Reviewer: _____

Telephone Number: _____

Information provided for this survey can be requested by the public. It is our experience that survey information is rarely requested.

Source, Treatment, Storage, and Pumping Inventory

To ensure all potential source, treatment, storage, and pumping projects are considered, it may be helpful to complete some or all of this inventory table. However, completion of this table is not required.

- **Source Projects** are all projects related to collecting and pumping raw water. This includes wells, surface water intakes, springs, off-stream raw water storage, pumps, and well houses.
- **Treatment Projects** are all projects related to disinfection, filtration, or other treatment processes for ground or surface water sources, or for treatment applied in the distribution system.
- **Storage and Pumping Projects** are related to finished or treated water storage, and booster pump stations.

Source Water			
Inventory	Needing Replacement	Needing Rehabilitation	New Infrastructure Needs
Total Number and Capacity of Existing Wells or Springs:	Wells (pumps included) or Springs:	Wells (pumps included) or Springs:	Does your system have additional source water capacity needs to meet the needs of current users? (check one) Yes ____ No ____ If yes, how many additional sources are necessary? And what are the design capacities?
Total Number and Capacity of Existing Surface Water Sources:	Existing Surface Water Intakes (excluding pumps):	Existing Surface Water Intakes (excluding pumps):	
Total Number and Capacity of Existing Pumps (excluding booster pump stations):	Existing Groundwater Pumps (if wells not listed):	Existing Groundwater Pumps (if wells not listed):	
	Existing Raw Surface Water Pumps:	Existing Raw Surface Water Pumps:	
Treatment			
Inventory	Needing Replacement	Needing Expansion/Upgrading or Rehabilitation	New Infrastructure Needs
For the sources identified above, enter the number of locations where the following treatment is applied:			
Disinfection (including booster disinfection):	Disinfection:	Disinfection:	Does your system have additional treatment needs for provision of additional public health protection or for aesthetic concerns? (check one) Yes ____ No ____ If yes, what additional treatment is necessary?
Filtration:	Filtration:	Filtration:	
Chemical removal or addition:	Chemical treatment:	Chemical treatment:	
Storage and Pump Stations			
Inventory	Needing Replacement	Needing Rehabilitation	New Infrastructure Needs
Total Number and Capacity of Existing Storage Tanks:	Number of Existing Storage Tanks:	Number of Existing Elevated or Ground-Level Storage Tanks:	Does your system have additional storage capacity and/or booster pumping needs to meet the needs of current users? (check one) Yes ____ No ____ If yes, how much additional finished water storage or booster pumping capacity is necessary?
Total Number and Capacity of Existing Booster Pump Stations:	Number of Existing Booster Pump Stations:	Number of Existing Booster Pump Stations:	

Source, Treatment, Storage, and Pumping Projects

Project Number	Project Name	Type of Need (List 1)	Reason for Need (List 2)	<u>N</u> ew, <u>R</u> eplace, <u>R</u> e <u>H</u> ab, <u>E</u> x <u>u</u> nd/upgrade	<u>C</u> urrent or <u>F</u> uture	Reg or Secondary Purpose (List 3)	Design Capacity (MG, MGD, kW)	Number Needed (if applicable)	Cost Estimate (if available)	Date of Cost Estimate (Month/Year)	Documentation (List 4)
Ex. 1	Replace Wells 3 and 8 at 0.5 MGD each	R1	A1	R	C	4A	0.5	2	-	-	6, 10
Ex. 2	Rehab Treatment Plant and Booster Station	T10, P2	A1,A6	H	F	1A	5.0	1	\$6,027,000	12/2009	4
1000											
1001											
1002											
1003											
1004											
1005											
1006											
1007											

If a project is coded 2G for "climate readiness" from List 3, please refer to page 7 for supplemental questions.

If you have more source, treatment, storage, or pumping projects check this box ☐ and continue on a supplemental sheet (included in this package or downloadable at www.DWNeeds.com). Project numbers for these types of projects are 1000-1999, and should be numbered in sequence.

EPA requires documentation of all projects provided. Applicable types of documentation are presented in List 4 of the Lists of Codes. Use only existing documentation of cost. We do not expect you to develop new cost estimates.

Transmission and Distribution Inventory

Transmission and distribution projects are the piping needs of a water system. **Projects for valves, backflow prevention devices and assemblies, hydrants, and meters** that are not part of a transmission or distribution project listed in this table should be recorded in the table on page 6.

On the table below, please provide an estimate of the total feet or miles of pipe in your system, if possible. Completion of this table is not required, but it may be helpful to ensure all potential transmission and distribution pipe projects are considered.

Note: The total feet or miles of pipe in your system is required information if any pipe projects are submitted based solely on survey-generated documentation (documentation codes 10 or 11).		Total feet or miles of pipe in system <i>(Circle or underline feet or miles)</i>			
<u>Total Pipe in System</u> <i>(Circle or underline feet or miles)</i> <div style="text-align: right;">_____ Feet or miles</div>		<u><=6 inch</u>	<u>8-12 inch</u>	<u>15-42 inch</u>	<u>>=48 inch</u>
<u>Plastic</u> <div style="text-align: right;">_____ % of total pipe</div>	Amount of PVC by pipe size % of this category/size pipe currently in poor condition or beyond useful life	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>
<u>Ductile Iron</u> <div style="text-align: right;">_____ % of total pipe</div>	Amount of ductile iron by pipe size % of this category/size pipe currently in poor condition or beyond useful life	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>
<u>Cast Iron</u> <div style="text-align: right;">_____ % of total pipe</div>	Amount of cast iron by pipe size % of this category/size pipe currently in poor condition or beyond useful life	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>
<u>Asbestos Cement</u> <div style="text-align: right;">_____ % of total pipe</div>	Amount of asbestos cement by pipe size % of this category/size pipe currently in poor condition or beyond useful life	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>
<u>Other</u> <div style="text-align: right;">_____ % of total pipe</div>	Amount of other by pipe size % of other currently in poor condition or beyond useful life	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>	<div style="text-align: right;">_____ feet or miles</div> <div style="text-align: right;">_____ %</div>

Transmission and Distribution Projects

Project Number	Project Name	Type of Need (List 1)	Reason for Need (List 2)	<u>N</u> ew, <u>R</u> eplace, or <u>R</u> e <u>H</u> ab	<u>C</u> urrent or <u>F</u> uture	Reg or Secondary Purpose (List 3)	Diameter of Pipe (Inches)	Length of Pipe (Feet)	Cost Estimate (if available)	Date of Cost Estimate (Month/Year)	Documentation (List 4)
Ex. 1	Cleaning and Lining Old Cast Iron Mains	M1	A1	H	C	4A	12	18,000	-	-	11
Ex 2	Replace Deteriorated Transmission Main	X2	A1	R	C	4A	24	20,000	\$4,200,000	06/2008	1
2000											
2001											
2002											
2003											
2004											
2005											
2006											
2007											

If a project is coded 2G for “climate readiness” from List 3, please refer to page 7 for supplemental questions.

If you have more transmission or distribution projects check this box ☐ and continue on a supplemental sheet (included in this package or downloadable at www.DWNNeeds.com). Project numbers for transmission or distribution projects are 2000-2999, and should be numbered in sequence.

EPA requires documentation of all projects provided. Applicable types of documentation are presented in List 4 of the Lists of Codes. Use only existing documentation of cost. We do not expect you to develop new cost estimates.

Meters, Service Lines, Backflow Prevention Devices/Assemblies, Hydrants, Valves, etc.

Projects for meters, service lines, backflow prevention devices and assemblies, valves, hydrants and other miscellaneous projects are recorded in this section to accommodate entries of multiple identical items on one line in the project table. **Record only projects that are not a part of another project (e.g., water main replacement projects will already include valves, hydrants, and other appurtenances).** EPA requires documentation of all projects provided. Applicable types of documentation are presented in List 4 of the Lists of Codes. Use only existing documentation of cost. We do not expect you to develop new cost estimates.

Inventory	Needing Replacement	New Infrastructure Needs
Total Number of Existing Water Meters:	Number of Water Meters:	Number of Water Meters:
Total Number of Existing Backflow Prevention Devices/Assemblies:	Number of Backflow Prevention Devices/Assemblies:	Number of Backflow Prevention Devices/Assemblies:
Total Number of Existing Valves:	Number of Valves:	Number of Valves:
Total Number of Existing Hydrants:	Number of Hydrants:	Number of Hydrants:
Total Number of Lead Service Lines:		

Project Number	Project Name	Type of Need (List 1)	Reason for Need (List 2)	New, Replace, or ReHab	Current or Future	Reg or Secondary Purpose (List 3)	Size (Diameter in Inches)	Number Needed	Cost Estimate (if available)	Date of Cost Estimate (Month/Year)	Documentation (List 4)
Ex.1	Replace Lead Service Lines	M2	A6	R	C	1D	-	100	\$100,000	05/2010	9, 11
3000											
3001											
3002											
3003											
3004											

Project Number	Project Name	Type of Need (List 1)	Reason for Need (List 2)	New, Replace, or ReHab	Current or Future	Reg or Secondary Purpose (List 3)	Size (Diameter in Inches)	Number Needed	Cost Estimate (if available)	Date of Cost Estimate (Month/Year)	Documentation (List 4)
3005											
3006											
3007											
3008											

If a project is coded 2G for “climate readiness” from List 3, please refer to the supplemental questions below.

If you have more of these types of projects check this box ☐ and continue on a supplemental sheet (included in this package or downloadable at www.DWNNeeds.com). Project numbers for these types of projects are 3000-3999, and should be numbered in sequence.

Climate Readiness Supplemental Questions

If you used code 2G from List 3, in the “Regulation or Secondary Purpose” column of the survey, indicating that you have one or more projects that are related to climate readiness, please answer the following questions. Only one response is requested; do not provide a response for each project.

Projects that included a climate ready component [Project #(s)]: _____

Which of the following secondary consequences of climate change have contributed to your system’s need for climate readiness projects? (check all that apply)

- ☐ Source water quality (e.g., water quality degradation affecting treatment processes, alternate sources)
- ☐ Source water quantity (e.g., availability affected by snowmelt or weather patterns, or hydraulic patterns)
- ☐ Infrastructure Vulnerability (e.g., facility locations affected by sea level rise, increased precipitation intensity)
- ☐ Other (please explain)_____

Please describe the data you are relying on to determine climate change consequences and implications.

- ☐ Model developed from state-specific data.
- ☐ Model developed from region-specific data.
- ☐ Other (please describe)_____

Respondent Information

Please provide the following information in case we need to contact you for clarification or additional explanation of any of your responses.

Contact Person (Person who completed this questionnaire):

Signature:

Name (please print):

Title:

Mailing Address:
(Street Address)

Telephone Number:

Fax Number:

E-mail Address:

Best Time to Reach You:

CLOSING: Thank you for your help. Did you remember to:

☐

Attach all additional project tables to the questionnaire?

☐

Identify, by project number, available documentation for all needs and costs reported above?

☐

Put the questionnaire and the documentation in the pre-paid, pre-addressed Federal Express Pak provided and return this questionnaire and the documentation to the address below? (See the pink enclosure for further return instructions.)